



11/11/01

2152

PATENT
Attorney Docket No. 2760

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BARHAM et al.

Group Art Unit: 2152

Serial No ⁰¹⁰10/020,881

Examiner: Not Assigned

Filed: November 8, 2001

For: System and Method for Controlling Network
Demand via Congestion Pricing

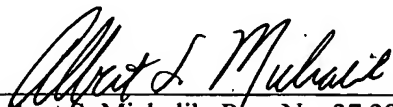
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of the references.

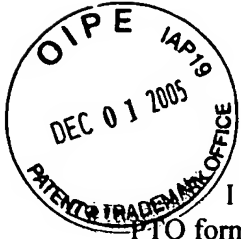
The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is believed to be filed before the mailing date of a first Office action on the merits. Accordingly, no fee is owed by applicant. If, however, an Office action has been issued, the Patent Office is hereby authorized to charge any underpayments associated with this submission to Deposit Account 50-1618.



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In re Application of BARHAM et al.
Serial No. 10/010,881



CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT, cited references, and PTO form 1449 are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date: November 28, 2005

By:

Albert S. Michalik

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
2760SERIAL NO.
10/010,881INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

APPLICANT
BARHAM et alFILING DATE
November 8, 2001GROUP
2152

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO*

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	J. K. MacKie-Mason and H. R. Varian. Pricing in the Internet. In B. Kahin and J. Keller, editors, <i>Public access to the Internet</i> , Prentice Hall, New Jersey, 1994.
AB	F. P. Kelly, A. K. Maulloo and D.K.H. Tan, Rate Control in Communication Networks: Shadow prices, Proportional Fairness and Stability. In <i>Journal of the Operational Research Society</i> , 49:237-252, 1998
AC	R. J. Gibbens and F.P. Kelly. Resource pricing and the evolution of congestion control. In <i>Automatica</i> , 1999.
AD	R. Jain and K.K. Ramakrishnan. Congestion Avoidance in Computer Networks with a Connectionless Network Layer: Concepts, Goals and Methodology. In <i>Proceedings IEEE Comp. Networking Symposium</i> , April 1998, pp 134-143
AE	S. Floyd. TCP and Explicit Congestion Notification. In <i>ACM Computer Communications Review</i> , 24(5):10-23
AF	K. Ramakrishnan and S. Floyd. A Proposal to add Explicit Congestion Notification to IP. IETF RFC 2481, January 1999.
AG	S. Floyd and V. Jacobson. Random Early Detection Gateways for Congestion Avoidance. In <i>IEEE/ACM Transaction on Networking</i> , 1(4):397-413. 1993.
AH	R. J. Gibbens and F. P. Kelly. Distributed Connection Acceptance Control for a Connectionless Network. In P.B. Key and D. G. Smith, editors, <i>Teletraffic Engineering in a Competitive World</i> , Proceedings ITC16, Elsevier. June 1999
AI	R. J. Gibbens and P.B. Key. <i>A Note on Resource Pricing and Congestion Control for Networks with Delay and Loss</i> . Preprint, 1999
AJ	P.B. Key and D. R. McAuley. Differential QoS and pricing in Networks: Where Flow Control Meets Game Theory. In <i>IEEE Proceedings Software</i> 146(2). March 1999.